UNITED STATES COURT OF APPEALS FOR THE DISTRICT OF COLUMBIA CIRCUIT

CASE NO. 14-1208

G. WARE CORNELL, JR.
Petitioners,
V.
FEDERAL MARITIME COMMISSION,
Respondent.

LISA ANNE CORNELL and

MOTION FOR LEAVE TO INTERVENE

Pursuant to 28 U.S.C. § 2348 and Rule 15(d) of the Federal Rules of Appellate Procedure, and D.C. Circuit Rule 15(d), Princess Cruise Lines, Ltd. (Corp), Carnival plc, and Carnival Corporation (collectively "Interveners"), respectfully move for leave to intervene as of right in the above-captioned appeal in support of Respondent Federal Maritime Commission. Petitioners Lisa Anne Cornell and G. Ware Cornell Jr. seek review of the final Order of the Federal Maritime Commission ("FMC" or "Commission"), *Reversing Initial Summary*

¹ Interveners note that the Court allowed petitioner G. Ware Cornell Jr. thirty (30) days to submit a completed application for admission to the United States Court of Appeals for the District of Columbia Circuit. Counsel for Interveners is in the process of filing the *pro hac vice* forms or appointing co-counsel and requests thirty (30) days to complete same.

Decision In Part, Affirming In Part, and Vacating In Part, Docket No. 13-02,

served on August 28, 2014 ("Order"). In the Order, the Commission reversed the

denial of Interveners' Motion to Dismiss Petitioners' section 10(b)(10), 10(b)(4)

claims, affirmed dismissal of Petitioners' section 10(c)(1) claim, vacated the

remainder of the Administrative Law Judge's Initial Summary Decision and

dismissed the Complaint with prejudice. Interveners actively participated as parties

in the proceedings below and their interests would be adversely affected if the

Order is set aside. Therefore, the Interveners interests will be substantially affected

by this Court's review of the Commission's Order. Interveners are thus a "party in

interest in the proceeding" entitled to intervene "as of right" in this matter. 28

U.S.C. § 2348. Interveners therefore respectfully request that this Court grant their

motion for leave to intervene in support of Respondent Federal Maritime

Commission.

Dated: November 19, 2014

Respectfully Submitted,

MALTZMAN & PARTNERS, P.A.

Attorneys for Respondents 55 Miracle Mile Suite 320 Coral Gables, Florida 33134

Tel: (305) 779-5665

Fax: (305) 779-5664

/s/ Steve Holman By:

JEFFREY B. MALTZMAN Florida Bar No. 0048860

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STEVE HOLMAN Florida Bar No. 0547840 RAFAELA P. CASTELLS Florida Bar No. 0098468

UNITED STATES COURT OF APPEALS FOR THE DISTRICT OF COLUMBIA CIRCUIT

CASE NO. 14-1208

LISA ANNE CORNELL and G. WARE CORNELL, JR.

Petitioner	s,
------------	----

v.

FEDERAL MARITIME COMMISSION,

Respondent.		

CERTIFICATE AS TO PARTIES, RULINGS, AND RELATED CASES

Pursuant to D.C. Circuit Rule 27(a)(4) and D.C. Circuit Rule 28(a)(1)(A), Princess Cruise Lines, Ltd. (Corp), Carnival plc, and Carnival Corporation (collectively "Interveners") hereby certify the following:

In Case No. 14-1208, the Petitioners are Lisa Anne Cornell and G. Ware Cornell Jr., and the Respondent is the Federal Maritime Commission ("FMC"). Movants, Princess Cruise Lines, Ltd. (Corp), Carnival plc, and Carnival Corporation, seek leave to appear in this matter as Interveners in support of

Respondent FMC. No amici have appeared before this Court. References to the ruling at issue appear in Petitioners' Petition for Review of Agency Order (the "Petition") filed on October 21, 2014, Document #1518925, and a copy of the ruling appears in the attachment to the Petition, Document #1518925.

Dated: November 19, 2014

Respectfully Submitted,

MALTZMAN & PARTNERS, P.A.

Attorneys for Respondents 55 Miracle Mile Suite 320 Coral Gables, Florida 33134

Tel: (305) 779-5665 Fax: (305) 779-5664

By: /s/ Steve Holman

JEFFREY B. MALTZMAN Florida Bar No. 0048860 STEVE HOLMAN

Florida Bar No. 0547840 RAFAELA P. CASTELLS Florida Bar No. 0098468

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CORPORATE DISCLOSURE STATEMENT

Pursuant to Rule 26.1 of the Federal Rules of Appellate Procedure and D.C. Circuit Rule 26.1, Princess Cruise Lines, Ltd. (Corp), Carnival plc, and Carnival Corporation (collectively "Interveners") submit the following corporate disclosure statement:

Princess Cruise Lines, Ltd. (Corp), ("Princess") is a non-governmental corporate entity. Princess' sole parent corporation is Carnival Corporation. No other publicly held corporation owns 10% or more of Princess stock. Princess' stock was formerly listed on the London Stock Exchange.

Carnival Corporation discloses that there are no parent corporations or publicly held corporations that hold ten percent or more of its stock. Carnival Corporation is a publicly traded company whose stock is listed on the New York and London Stock Exchanges.

Carnival plc discloses that Carnival Corporation holds approximately twenty percent of Carnival plc's stock.

Dated: November 19, 2014

Respectfully Submitted,

MALTZMAN & PARTNERS, P.A.

Attorneys for Respondents 55 Miracle Mile Suite 320 Coral Gables, Florida 33134

Tel: (305) 779-5665 Fax: (305) 779-5664

By: /s/ Steve Holman

JEFFREY B. MALTZMAN Florida Bar No. 0048860 STEVE HOLMAN

Florida Bar No. 0547840 RAFAELA P. CASTELLS Florida Bar No. 0098468

CERTIFICATE OF SERVICE

WE HEREBY CERTIFY that a true and correct copy of the foregoing Motion for Leave to Intervene has been electronically filed the foregoing Motion

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for Leave to Intervene with the Clerk of the Court for the United States Court of Appeals for the D.C. Circuit by using the CM/ECF system. I certify further that I have directed that copies of the foregoing Motion for Leave to Intervene be served by electronic service via CM/ECF and U.S. mail to the persons on the following Service List.

By: <u>/s/ Steve Holman</u> STEVE HOLMAN

SERVICE LIST CASE NO. 14-1208

Secretary Karen V. Gregory G. Ware Cornell, Esq. CORNELL & ASSOCIATES, P.A. THE FEDERAL MARITIME 2645 Executive Park Drive COMMISSION 800 North Capitol Street, N.W. Weston, FL 33331 Washington, D.C. 20573 Attorneys for Petitioners Respondents Jeffrey B. Maltzman, Esq. jeffreym@maltzmanpartners.com Steve Holman, Esq. steveh@maltzmanpartners.com Rafaela P. Castells, Esq. rafaelac@maltzmanpartners.com MALTZMAN & PARTNERS, PA 55 Miracle Mile, Suite 320 Coral Gables, Florida 33134 Telephone: 305-779-5665 Fax: 305-779-5664 Attorneys for Interveners